



# FORMALITIES LETTER



\*OC000000005001835\*



## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

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09.25.00  
Sector #

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/493,517	01/28/2000	Matthew Fuchs	16603-708

WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

Date Mailed: 03/22/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$348.
  - \$270 for 15 total claims over 20.
  - \$78 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1168.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/27/2000 GTEFFERA 00000074 232415 09493517

01 FC:101	690.00 CH
02 FC:103	270.00 CH
03 FC:102	78.00 CH
04 FC:105	130.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Fuchs, et al.

Group No.: 2772

Serial No.: 09/493,517

Examiner: Not Assigned

Filed: January 28, 2000

For: SYSTEM AND METHOD FOR SCHEMA EVOLUTION IN AN E-COMMERCE NETWORK

Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 03/22/2000. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

COMPLETION FEES

- |     |  |            |
|-----|--|------------|
| IV. | 1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))               | \$690.00   |
|     | 1. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))            | \$270.00   |
|     | 2. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b)) | \$78.00    |
|     | 3. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))             | \$130.00   |
|     | 4. Assignment (See "ASSIGNMENT COVER SHEET".)                                | \$40.00    |
|     | Total completion fees  | \$1,208.00 |

EXTENSION OF TIME

- V. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.  
Fee Due: \$1,360

REQUEST FOR CORRECTED FILING RECEIPT

- VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant's name	--ANDREW DAVIDSON--, Boulder Creek, CO
At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.	

TOTAL FEE DUE

- VII. The total fee due is: Completion fees \$1,208 Extension of Time Fees \$1,360  
Corrected Filing Receipt Fees \$25

TOTAL FEE DUE \$2,593

PAYMENT OF FEES

- VIII. Charge Account No. 23-2415(16603-708) in the amount of \$2,593.

Please charge Account No. 23-2415 (16603-708) for any fees that may be due by this paper.

Shaalu Mehra, Reg. No. 44,934

Wilson Sonsini Goodrich & Rosati  
650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300

EXPRESS MAIL CERTIFICATE No.: EL682475951US  
DATE: SEPTEMBER 22, 2000  
By: KAREN KNOTH  
SIGNATURE: *[Signature]*

09/27/2000 GTEFFERA 00000074 232415 09493517

06 FC:118 1360.00 CH

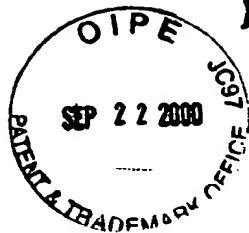
RECEIVED

MAR 31 2000

WILSON, SONSINI,  
FILING RECEIPT



\*OC000000005001834\*



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16603-708

SM

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/493,517	01/28/2000	2772	0	16603-708	4	35	4

WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

DOCKETED

Date Mailed: 03/22/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Matthew Fuchs, Los Gatos, CA ;  
Jari Koistinen, Palo Alto, CA ;  
[Davidson Andrew] Boulder Creek, CO ;  
ANDREW DAVIDSON

**Continuing Data as Claimed by Applicant**

**Foreign Applications**

**If Required, Foreign Filing License Granted 03/21/2000**

**Title**

System and method for schema evolution in an E-Commerce network

**Preliminary Class**

707

\* Data entry by : BRUNSON, MONIQUE

Team : OIPE

Date: 03/22/2000

